

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David C. Ward et al.

Serial No. 07/841,910

Group Art Unit: 1803

Filed: February 26, 1992

Examiner: L. Eric Crane

For: **MODIFIED NUCLEOTIDES AND METHODS OF PREPARING
AND USING SAME**

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Lieberman & Nowak
292 Madison Avenue

New York, New York 10017

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Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

TERMINAL (STATUTORY) DISCLAIMER

Yale University, a non-profit corporation organized under the laws of the State of Connecticut and having offices at 451 College Street, New Haven, Connecticut 06520, is the assignee of the entire interest in

- (1) the above-identified U.S. Application Serial No. 07/841,910 for "Modified Nucleotides and Methods of Preparing and Using Same" filed on February 26, 1992 (hereinafter the subject application), which is a continuation of U.S. Application Serial No. 07/130,097, filed December 7, 1987, which in turn is a divisional of U.S. Application Serial No. 06/496,915, filed on May 23, 1983; and

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- (2) U.S. Patent No. 4,711,955 that issued on December 8, 1987, for "Modified Nucleotides and Methods of Preparing and Using Same" based on U.S. Application Serial No. 06/496,915, filed on May 23, 1983 as a continuation of U.S. Application Serial No. 06/225,223, filed on April 17, 1981;

both by virtue of an assignment recorded with the United States Patent and Trademark Office on February 24, 1982 at Reel 3950, Frames 423-427, in connection with the aforementioned predecessor application, Serial No. 06/225,223 (filed on April 17, 1981). A copy of the recorded assignment is attached hereto As Exhibit A. As the assignee, Yale University, through the undersigned, has reviewed the evidentiary documents and certifies, to the best of its knowledge and belief, that title is in the assignee seeking to take action.

Yale University hereby disclaims the terminal part of any patent granted on the subject application, which would extend beyond the expiration date of U.S. Patent No. 4, 711, 955, issued on December 8, 1987, also assigned to and owned by Yale University, as described above and supported by attached Exhibit A. Yale University hereby agrees that any patent so granted on the subject application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent No. 4,711,955, this agreement to run with any patent granted on the subject application and to be binding upon the grantee, its successor or assigns.

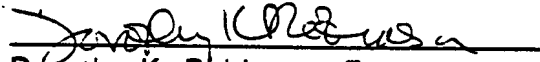
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Attached to this paper is a completed, signed certificate Under 37 C.F.R.
§3.73(b) as set forth in the Federal Register on July 6, 1992 (Vol. 52, No. 129,
29634-29648), and in the Official Gazette on July 28, 1992.

YALE UNIVERSITY

Signed at New Haven, Connecticut
this 15 day of December, 1993

By


Dorothy K. Robinson, Esq.
General Counsel and duly
authorized to sign on behalf of
Yale University

* * * * *

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CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Applicant: David C. Ward et al.

Application No. 07/841,910 Filed: February 26, 1992

For: MODIFIED NUCLEOTIDES AND METHODS OF PREPARING AND USING SAME

Yale University, a university
(Name of Assignee) (Type of signee, e.g., corporation, partnership, university, agency, etc.)

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certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of:

- A. X An assignment from the inventor(s) of the patent application identified above through a predecessor application, Serial No. 06/255,223, filed April 17, 1981. The assignment was recorded in the Patent and Trademark Office at Reel 3950, Frames 0423-0427, or for which a copy thereof is attached.
- B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
1. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
2. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
3. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

 Additional documents in the chain of title are listed on a supplemental sheet.

 Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: Dec 15, 1993

Name: Dorothy K. Robinson, Esq.

Title: General Counsel

Signature: *Dorothy K. Robinson*